This Fixed Price-Fixed Scope Service Description describes the Enterprise Vault In-Place 11-12 Upgrade, Control # EV-in-11-12-0001 (the “Service”). This Service Description is part of any Services Instrument that incorporates this Service Description by reference (collectively, the "Agreement"). “Services Instrument” means one or more of the following applicable documents which further defines Customer’s rights and obligation related to the Service: a Veritas certificate, or a written statement of work or similar document, between Customer and Veritas with associated terms and conditions, that references this Service Description.

**Service Overview**

This Service is a Fixed Price-Fixed Scope Service engagement to upgrade Enterprise Vault (“EV”) from version 11.0.1 to 12.3 for each quantity Customer has purchased. This Service is a base package for Enterprise Vault (“EV”) upgrades and can be supplemented with add-ons to a maximum of four (4) EV and/or Discovery Accelerator (“DA”) servers for additional applicable fees. Customer is running the requisite versions of Microsoft OS (2012/2012R2) and a hardware refresh is not required. The scope of the engagement covers the readiness check and the in-place upgrade of one (1) EV server to the latest version of 12.3.

**Delivery Details**

**Scope of Service**

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**PHASE 1  PROJECT PLANNING**

Veritas shall initiate a planning discussion with Customer to prepare for the project. The topics outlined below shall be discussed during the meeting:

Veritas shall:
- Review roles and responsibilities
- Confirm primary Customer point of contact
- Review Scope of Service
- Provide Acceptance Test Plan (“ATP”) summation document to Customer

**PHASE 2  EV UPGRADE READINESS CHECK**

Veritas shall facilitate a WebEx with Customer to discuss the upcoming production upgrade of EV as well as provide any required tools for data collection. After reviewing the output, Veritas shall document any issues discovered within the environment and document the steps for upgrade.
Veritas shall:
- Perform a high-level evaluation of the current environment for issues that may affect the upgrade
- Provide tools and instructions for data collection
- Analyze and provide feedback on issues requiring remediation that could affect the upgrade
- Create operational task list for use during upgrade

**PHASE 3 PRODUCTION UPGRADE TO EV 12.3**
The objective is to upgrade the EV infrastructure within the production environment to the latest version of 12.3.

Veritas shall:
- Analyze and provide feedback based on output from the EV deployment scanner
- Shutdown EV
- Install and upgrade EV 11.0.1 to 12.3 (with applicable hotfixes)
- Upgrade related EV components (if deployed in 11.0.1)
  - EV Reporting
  - EV Operations Manager
  - OWA extensions
- Perform functional verification (ATP document)

**PHASE 4 PROJECT REVIEW AND CLOSEOUT**
Veritas shall perform and/or provide the following:
- Review project activities
- Provide completed ATP document
- Participate in closeout meeting

**Deliverables**
**Phase 1 Deliverable:**
- Schedule the readiness check

**Phase 2 Deliverables:**
- Perform a high-level review of the environment
- Complete documentation of required operational steps for upgrade

**Phase 3 Deliverable:**
- Upgrade EV on one (1) server from 11.0.1 to the latest 12.3 version

**Phase 4 Deliverable:**
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- Deliver the ATP document to Customer and attend the project close out meeting

Key Dependencies & Customer Responsibilities

- If Customer requires Veritas to perform the Service onsite, the parties will mutually agree on travel and expense fees
- Work is conducted during Normal Work Hours.
- Change Control Records shall be entered and maintained by Customer
- EV server must meet recommended specifications of eight (8) cores and sixteen (16) GB RAM
- EV SQL server must meet recommended specifications of eight (8) cores and sixteen (16) GB RAM
- Verify recommended SQL maintenance has been performed against the EV SQL database per the following Veritas Tech Note:
- Verify SQL/EV Customer version compatibility with the destination SQL/EV version in the EV Compatibility List located at:

Customer Responsibilities. Veritas can only perform the Service if Customer provides required information or performs required actions. If Customer does not provide/perform per the following prerequisites, assumptions, or dependencies, Veritas’ performance of the Service may be delayed, impaired or prevented:

- Upgrade license keys via the [my.veritas.com](https://my.veritas.com) portal during Phase 1 of the Service
- Run provided information collection tools including application, SQL queries and/or PowerShell scripts on EV and SQL servers and provide results for analysis by Veritas during Phase 2 of the Service
- Remediate any issues found that may affect the upgrade during Phase 2 of the Service
- Ensure that all change control and end user notifications have been submitted during Phase 3 of the Service
- Download and locally stage EV installation media (EV 12.3 and any hotfixes) and required licenses on the server during Phase 3 of the Service
- On the EV server, run the EV Deployment Scanner and provide results back to the Veritas team during Phase 3 of the Service.
- Configure recommended antivirus exclusions on destination server during Phase 3 of the Service:
- If utilizing Mailbox Archiving during Phase 3 of the Service, upgrade legacy EV client (Outlook/Notes) add-ins to version 12.3 (with applicable hotfixes) prior to EV 12 server upgrade (EV 12.3 client works with EV server 11 and 12)
  - The latest EV version can be identified here:
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- Complete remaining prerequisites as documented in upgrade operational task list during Phase 3 of the Service
- Set EV into read-only (backup) mode and backup entire EV environment in order to establish recovery point at beginning of upgrade after Veritas has validated the environment during Phase 3 of the Service (see bullets above)
- Veritas strongly recommends that the Customer back up all critical hosts in Customer’s environment before Service commencement. Veritas does not accept responsibility or liability for any loss of data incurred by Customer during the delivery of this Service
- Provide the necessary staffing resources (e.g., LAN, SAN, OS Platforms, DBA, etc.) to enable the Service to be performed.
- Allocate any necessary space, power, cooling, networking, security measures, and wire/cable management for the Service.
- Provide any necessary network access for Veritas, SNMP and email address requirements for alert notifications, and open the necessary network ports to enable the Service to be performed.
- Provision a contiguous range of physical IP addresses and a contiguous range of virtual IP addresses. All IP addresses (both physical and virtual) must be part of the same subnet and use the same netmask as the node's access IP.
- Any additional Customer Responsibilities set forth in this Services Description and the Services Instrument.

Acceptance Schedule

Acceptance conditions are set forth in the Services Instrument. The milestone percentage below indicates (1) the percentage of the Service to be completed for each milestone and (2) for any Service which is not prepaid, the percentage of the Service to be invoiced upon acceptance.

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<tr>
<th>Payment Milestone</th>
<th>Acceptance Schedule</th>
<th>Milestone Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Milestone #1:</td>
<td>Upon acceptance of the Service and Deliverables listed herein</td>
<td>100%</td>
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